IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

2014 MAY 13 PX 4: 54

Applicants

: John D. Affinito et al

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW

INDEX OF REFRACTION POLYMERS

Docket:

: BAT 0029 IA/31089.82

Art Unit

: 1762

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States P

22313-1450 on May 2

Reg. No. 33,758

a & Duir

Sir:

REQUEST FOR REFUND

Applicants hereby request a refund in the amount of \$130.00. On April 5, 2004 a Response was filed (copy enclosed) which included copies of the Response To Notice To File Missing Parts, the Return Post Card, and the Filing Receipt in order to overcome the objection to the declaration issued by the Examiner in the March 22, 2004 Office Action Summary. Within the copies of the Response To Notice To File Missing Parts (enclosed) there is a copy of the Credit Card Payment Form (account number blocked out). The Office charged our credit card an additional \$130.00 for the surcharge for late filing fee or oath (Missing Parts) previously charged to our credit card in October 2001.

Accordingly, Applicants request a refund in the amount of \$130.00 be credited back to the credit card or sent in the form of a check to the address below. Please direct any questions or comments to the undersigned attorney.

Adjustment date: 06/10/2004 EEKUBAY1 04/07/2004 AWDNDAF1 00000024 09811919 -130.00 OP 01 FC:1051

Respectfully submitted, DINSMORE & SHOHL LLP

Refund Ref: 06/10/2004

0030016305

Credit Card Refund Intal: \$130.00 One Dayton Centre

One South Main Street, Suite 500

Telephone: (937) 223-2050 Facsimile: (937) 223-0724

PLP/amm Encls.

Patricia L. Prior

Registration No. 33,758

SECTIONAL ABLE COPY 04/05/2004 16:38 PAX 9372230724 DINSMORE & SHOHL DAY Ø 001 ACTIVITY REPORT STATUS AND ENTITY BRANCH **************** ST. TIME DESTINATION TEL/ID NO. PGS. RESULT

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April 5, 2004

from PATRICIA L. PRIOR

Direct: 937-449-6449 / Fax: 937-223-0724 / patricia prior@dinslaw.com 4 MAY 13 PM 4:

To:

Examiner Bret P. Chen

Firm:

Commissioner for Patents

Fax Number:

(703) 872-9306

Client Number:

BAT 0029 IA/31089.82

Pages:

23

(including cover)

Comments:

Applicant

: Affinito et al

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR

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Docket No.

: BAT 0029 IA/31089.82

Examiner

: B.Chen

Art Unit

: 1762

If there are any problems in receiving this transmission, please call Lori L. Sollenberger (937) 223-2050 immediately. Thank you.

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Approved for use through to APPROVED TO SENT (10-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMISSION OF DEPARTMENT OF DEPARTM

FEE TRANS	CREETAL	Complete if Known		
FEE INAIN	DIVIT I AL	Application Number	09/811,919 ZOU MAY 13 PH 11-	1 5,,,
for FY 2004		Filing Date	March 19, 2001	174
Effective 10/01/2003. Patent fees are subject to annual revision.		First Named Inventor	John D. Affinito	
Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Bret P. Chen	
		Art Unit	1762	1
TOTAL AMOUNT OF PAYMENT	(\$) 110.00	Attorney Docket No.	BAT 0029 IA/31089.82	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None: 3. ADDITIONAL FEES						
Deposit Account:						
Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filling fee or oath	
Deposit Account Name	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	9201	1804	920°	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Peid Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	· ·	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	•	Filing a brief in support of an appeal	
1004 770 2004 385 Raissue filing fee	1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) -0-	1452	110	2452		Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501	1,330	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502		Design issue fee	
Independent 20 - 7	1503	640	2503	320	Plant issue fee	
Claims - 3** = X = X	1460	130	1460	130	Petitions to the Commissioner	
`	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Pescription	1806	180	1806	180	Submission of information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809		Filing a submission after final rejection	
		ı			(37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not peid 1204 86 2204 43 ** Reissue independent claims	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination	
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SUBTOTAL (2) (\$) -0-			Basic F		- O-14	
"or number previously paid, if greater, For Reissues, see above	<u> </u>			y . 0	Paid SUBTOTAL (3) (\$) 1	10.00

(Complete (l'applicable)) Name (Print/Type) Patricia L. Prior Registration No. 33,758 Telephone (937) 223-2050 Signature April 5, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

De Included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P.O. Box 1459, Alexandria, VA 22313-1450.

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Effective 10/01/2003. Patent fees are subject to annual revision.		First Named Inventor		tor	John D. Affinito		1	
		Exa	miner N	lame		Bret P. Chen		1
Applicant claims small entity status. See 37 CFR 1.27		Art	Unit			1762		1
TOTAL AMOUNT OF PAYMENT	(\$) 110.00	Atto	mey Do	cket N	0.	BAT 0029 IA/31089.82)
METHOD OF PAYMENT (check	all that apply)			FEE	CAL	CULATION (continued)		1
Check Credit card Money Order Deposit Account: Deposit Account Number Deposit Account Number Deposit Account Name The Director is authorized to: (check all that ap Charge fee(s) indicated below Credi Charge any additional fee(s) or any underpayed Charge fee(s) indicated below, except for the to the above-identified deposit account.	pty) t any overpayments ment of fee(s)	3. ADDIT Large Entity Fee Code (\$) 1051 130 1052 50 1053 130 1812 2,520 1804 920 1805 1,840	Fee Code 2051 2052 1053 1812 1804	Fee (\$) 65 \$ 25 \$ 130 N 2,520 F 920 F 1,840 F	Surcha Surcha Sover a Ion-Er Tor filin Reques Seamin	Fee Description rge - late filing fee or cath rge - late provisional filing fee or heet rgish specification g a request for ex parte reexamination ting publication of SIR prior to er action ring publication of SIR after er action	Fee Paid	
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1005 160 2005 80 Provisional filing fi		1451 1,510				t for oral hearing to institute a public use proceeding		
SUBTOTAL (1)		1452 110	2452			to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY	AND REISSUE	1453 1,330	2453	665 P	etition	to revive - unintentional		

1205 18 2	9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) -0-	1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) Terminal Disclaimer	110.00
	ously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	110.00
SUBMITTED BY		(Complete (F applicable)	
Name (Print/Type)	Patricia L. Prior	Registration No. 33,758 Telephone (937) 22	
Signature	Gaticia & De	Date April 5,	

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240 Design Issue fee

130 Petitions to the Commissioner

50 Processing fee under 37 CFR 1.17(q)

40 Recording each patent assignment per property (times number of properties)

385 Filing a submission after final rejection (37 CFR 1.129(a))

385 For each additional invention to be examined (37 CFR 1.129(b))

180 Submission of Information Disclosure Stmt

385 Request for Continued Examination (RCE)

320 Plant Issue fee

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Extra Claims

Fee Description

Claims in excess of 20

over original patent

independent claims in excess of 3

** Reissue independent claims

** Reissue claims in excess of 20 and over original patent

Multiple dependent claim, if not paid

Independent Claime

arge Entity

Fee Fee Code (\$)

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1205 18 Small Entity

Fee Fee Code (\$)

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OFFICIA

2014 MAY 13 PM 4: 54

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

Applicant

: Affinito et al

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR

: LOW INDEX OF REFRACTION POLYMERS

Docket No.

: BAT 0029 IA/31089.82

Examiner Art Unit

: B.Chen

: 1762

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office (Fax. No. 703/872-9306) on April 5, 2004

Reg. No. 33,758

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

RESPONSE

This paper is being filed in response to the Office Action mailed March 22, 2004, having a reply due date of June 22, 2004. Reconsideration and reexamination are respectfully requested in light of the response and remarks below.

Serial No. 09/811,919 Docket No. BAT 0029 IA31089.82 STATUS AND ENTITY

2004 MAY 13 PH 4:54

REMARKS

Claims 1-26 are pending. Reexamination and reconsideration are requested in light of the accompanying remarks.

The declaration was stated to be defective because it was not executed. A signed declaration was mailed October 24, 2001 with a Response To Notice To File Missing Parts, and the Return Post Card was stamped by the Patent Office on January 11, 2002. A copy of the Response To Notice To File Missing Parts, the Return Post Card, and the Filing Receipt are enclosed. Applicants respectfully request that the objection to the declaration be withdrawn.

The rejection of claims 1-26 under the judicially created doctrine of obviousness type double patenting as being unpatentable over claims 1-23 of U.S. Patent No. 6,207,238 has been overcome. A terminal disclaimer accompanies this response.

CONCLUSION

Applicants respectfully submit that, in view of the above response and remarks, the application is now in condition for allowance. Applicants respectfully request that claims 1-26 be passed to allowance.

If the Examiner has any questions or comments regarding the present application, please contact the undersigned at the telephone number indicated below.

Respectfully submitted,

DINSMORE & SHOHL LLP

ticia of Dier

One Dayton Centre One South Main Street, Suite 500 Dayton, Ohio 45402-2023 Telephone: (937) 223-2050

Facsimile: (937) 223-0724

Application No.: 09/811,919 Filed: March 19, 2001

PTO/SB/26 (08-03)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office of the PARTY PARTY PROPERCE

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REJECTION OVER A PRIOR PATENT

Docket Number (Optional)

In re Application of: Affinito et al

BAT-9029 JA/31089.82

For: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS The owner*. Battelle Memorial Institute of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 6,207,238 _. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal Check either box 1 or 2 below, if appropriate. For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent

2. The undersigned is an attorney or agent of record.

Tricus & Duit

Patricia L. Prior Typed or printed name (937) 223-2050

Telephone Number

Terminal disclaimer fee under 37 CFR 1.20(d) included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the This coverage of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATUS AND ENTITY HEAMARK TRADEMARK STATUS AND ENTITY BRANCH

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

2004 MAY 13 PM 4: 54 COMMISSIONER FOR 2004 MAY 13 PM 4: 5

 APPL NO.
 FILING OR 371 (e) DATE
 ART UNIT
 FIL FEE RECT
 ATTY.DOCKET NO
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RECEIVED

OCT 0 5 2003

CONFIRMATION NO. 8947

UPDATED FILING RECEIPT

OCT 0 6 2013

CLD/DOCKETING

Date Mailed: 10/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Killworht, Gottman

One Dayton Centre

Suite 500

Hagan & Schaeff, L.L.P.

Dayton, OH 45402-2023

John D. Affinito, Tucson, AZ; Gordon L. Graff, West Richland, WA; Peter M. Martin, Kennewick, WA; Mark E. Gross, Pasco, WA; Paul E. Burrows, Kennewick, WA; Linda S. Sapochak, Henderson, NV;

Domestic Priority data as claimed by applicant

This application is a CIP of 09/212,776 12/16/1998 PAT 6,207,238

Foreign Applications

If Required, Foreign Filing License Granted: 08/30/2001

Projected Publication Date: 01/08/2004

Non-Publication Request: No

Early Publication Request: No

Title



STATUS AND ENTITY BRANCH

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OF ACCOMPANYING PAPERS AND RETURN TO USE MAY 13 PM 4: 55

Papers: Fee Transmittal in duplicate, Credit Card Payment Form (PTO-2038) \$130.00, Response to Notice to File Missing Parts, return copy of Notice and Declaration and Power of Attorney (10 pgs)

Inventor(s): John D. Affinito et al.

Title: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS

Serial No.: 09/811,919

Docket No.: BAT 0029 IA

Date Due: 10/31/01

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ST AVAILABLE COPY PTO/SB/17 (10-01)
PTO/SB/17 (1 Complete if Known AIUS AND ENTI 09/811,919 **Application Number** for FY 2002 Filing Date March 19, 2001, 77 First Named Inventor John D. Affinitő Patent fees are subject to annual revision. Examiner Name Group Art Unit 1762 TOTAL AMOUNT OF PAYMENT (\$) 130.00 Attorney Docket No. **BAT 0029 IA** METHOD OF PAYMENT FEE CALCULATION (continued)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. AD	DITIO	DNAL	FEES	
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Applicant claims small entity status. See 37 CFR 1.27	139 1	130 1	39 130	Non-English specification	
2. X Payment Enclosed:	147 2,	,520 1	47 2,52	O For filing a request for ex parte reexamination	
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FEE CALCULATION	113 1,	.840° 1	13 1,84	40* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 1	110 21	15 55	Extension for reply within first month	
Large Entity Small Entity	116 4	100 21	16 200		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 9	20 21	7 460	Extension for reply within third month	
101 740 201 370 Utility filing fee	118 1,4	440 21	8 720	Extension for reply within fourth month	
106 330 206 165 Design filing fee	128 1,9	960 22	8 980	Extension for reply within fifth month	
107 510 207 255 Plant filing fee	119 3	20 21	9 160	Notice of Appeal	
103 740 208 370 Reissue filing fee	120 3	2 0 22	0 160	Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121 2	80 22	1 140	Request for oral hearing	
SUBTOTAL (1) (\$) -0-	138 1,5	510 13	8 1,510	Petition to institute a public use proceeding	
	140 11	10 24	0 55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141 1,2	80 · 24	1 640	Petition to revive - unintentional	
Extra Claims below Fee Paid	142 1,2	80 242	2 640	Utility issue fee (or reissue)	
Total Claims20** = X =	143 46	BO 24:	3 230	Design issue fee	
Claims Multiple Dependent	144 62	20 24	4 310	Plant issue fee	
mustre Departual.	122 13	30 122	2 130	Petitions to the Commissioner	
Large Entity Small Entity	123 5	50 123	3 50	Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	126 18	30 126	180	Submission of Information Disclosure Stmt	
Code (\$) 103 18 203 9 Claims in excess of 20	581 4	10 581	40	Recording each petent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 74	0 246	370	Filing a submission after final rejection	
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109 84 209 42 ** Reissue Independent claims over original patent	149 74	0 249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Relssue claims in excess of 20	179 74	0 279	370	Request for Continued Examination (RCE)	
and over original patent	169 900	0 169	900	Request for expedited examination	
SUBTOTAL (2) (\$) -0-	Other fee	(specify	v)	of a design application	
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	(Attomey/Agent)	(Attomey/Agent) 46,024	(Attomey/Agent) 46,024 Date

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Application of

2004 MAY 13 PM 4: 55

Applicants

: John D. Affinito et al.

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR

LOW INDEX OF REFRACTION POLYMERS

Docket

: BAT 0029 IA

Art Unit

: 1762

CERTIFICATE OF MAILING

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Pursuant to the Notice to File Missing Parts, mailed August 31, 2001 in the aboveidentified application, enclosed is a properly signed declaration in compliance with 37 CFR §1.63 identifying the application by the above Serial Number and Filing Date, and the return copy of the Notice.

Please note that the application is now a Large Entity and a Credit Card Payment Form (PTO-2038) in the amount of \$130.00 as set forth in 37 CFR §1.16(e) is enclosed.

> Respectfully submitted, KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P.

Julie G. Cope

Registration No. 48,624

One Dayton Centre One South Main Street, Suite 500 Dayton, Ohio 45402-2023 Telephone: (937) 223-2050

Facsimile: (937) 223-0724

JGC/amm Encls.

UNITED STATES PATENT AND TRADEMARK COATIGE AND ENTITY

BRANCH UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

WASHINGTON, D.C. 20231

WWW.USPROGOV

APPLICATION NUMBER

FILING SCEIPT DATE

FILING SCEIPT DATE

O9/811,919

FRADE W 53/19/2001

Gordon L. Graff

BAT 0029 IA

CONFIRMATION NO. 8947

FORMALITIES LETTER
OC000000006502307

Killworht, Gottman Hagan & Schaeff, L.L.P. Suite 500 One Dayton Centre Dayton, OH 45402-2023

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The cath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Cénter

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DECLARATION AND POWER OF ATTORNEY (Division, Continuation, or C-I-P)

STATUS AND ENTITY BRANCH

As a below named inventors, we hereby declare that:

2009 MAY 13 PM 4: 55

Our residences, post office addresses and citizenships are as stated below next to our names; that
We verily believe we are the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled:
PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS (Docket No. BAT 0029 IA), described and claimed in the attached specification;
in the attached specification; X in the specification filed March 19, 2001, as U.S. Application Serial No. 09/811,919, and as amended
We hereby authorize the attorney(s) and/or agent(s) appointed herein to indicate above whether the invention is described and claimed in an attached specification and to provide the Filing Date and Serial No. of the corresponding U.S. Application, if previously filed.
We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims as filed and as amended by any amendment referred to above.
We acknowledge the duty to disclose to the Patent and Trademark Office all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).
We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:
Application Serial No. Filing Date Status 09/212,776 12/16/98 Issued

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard A. Killworth	Reg. No. 26,397	20M MAY 13 PM 4: 55
James F. Gottman	Reg. No. 27,262	501 HAT 13 18 4: 33
Timothy W. Hagan	Reg. No. 29,001	
James E. Beyer	Reg. No. 39,564	
Susan M. Luna	Reg. No. 38,769	1
Patricia L. Prior	Reg. No. 33,758	
William A. Jividen	Reg. No. 42,695	
Thomas E. Lees	Reg. No. 46,867	
John D. Reed	Reg. No. 46,506	•
Brian L. Smiler	Reg. No. 46,458	
Julie G. Cope	Reg. No. 48,624	
Robert L. Zieg	Reg. No. 20,500	•
Stephen R. May	Reg. No. 29,255	

Address all telephone calls to (937) 223-2050. Address all correspondence to: KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P., One Dayton Centre, One South Main Street, Suite 500, Dayton, Ohio 45402-2023.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first Inventor: JOHN D. AFFINITO

Inventor's signature_

Residence:

5745 North Campbell Avenue

Tucson, AZ 85718

Citizenship:

U.S.A.

Post Office Address: same as above

Full name of	f second Inventor: GORDON L. GRAF
Inventor's si	gnature
Date:	
Residence:	3750 Westlake Drive West Richland, WA 99353
Citizenship:	U.S.A.
Post Office A	Address: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352
Full name of	third Inventor: PETER M. MARTIN
inventor's sig	mature
Date:	· ·
Residence:	7703 W. 13th Avenue Kennewick, WA 99338
Citizenship:	U.S.A.
ost Office A	ddress: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352

2004 MAY 13 PM 4: 55

Full name of	fourth Inventor: MARK E. GROSS
Inventor's si	mature
Date:	
Residence:	50 Deseret Drive Pasco, WA 99301
Citizenship:	U.S.A.
Post Office A	ddress: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352
Full name of	fifth Inventor: PAUL E. BURROWS
Inventor's sig	nature
Date:	•
	101105 Vaca Road Kennewick, WA 99337
Citizenship:	U.S.A.
Post Office A	Idress: c/o Battelle Memorial Institute Pacific Northwest Division

Richland, WA 99352

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Post Office Address: same as above

Full name of	sixth Inventor: LINDA S. SAPOCHAK	market of this military
Inventor's sig	mature	STATUS AND ENTITY BRANCH
Date:		2004 MAY 13 PM 4:55
Residence:	131 Hexham Drive Henderson, NV 89015	
Citizenship:	U.S.A.	



DECLARATION AND POWER OF ATTORNEY (Division, Continuation, or C-I-P)

STATUS AND E

As a below named inventors, we hereby declare that:

2014 MAY 13 FN 4:55

Our residences, post office addresses and citizenships are as stated below next to our names; that

PLASMA EN	HANCED CHEMICAL DEPOSITION FOR HIG	H AND/OR LOW INDEX OF
REFRACTIO	N POLYMERS (Docket No. BAT 0029 IA), desc	ribed and claimed
-	in the attached specification;	
X	in the specification filed <u>March 19, 2001</u> 09/811,919 , and as amended	_, as U.S. Application Serial No.

the invention is described and claimed in an attached specification and to provide the Filing Date and Serial No. of the corresponding U.S. Application, if previously filed.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims as filed and as amended by any amendment referred to above.

We acknowledge the duty to disclose to the Patent and Trademark Office all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
09/212,776	12/16/98	Pending

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard A. Killworth	Reg. No. 26,397	
James F. Gottman	Reg. No. 27,262	201 MAY 13 PM 4: 55
Timothy W. Hagan	Reg. No. 29,001	
James E. Beyer	Reg. No. 39,564	
Susan M. Luna	Reg. No. 38,769	
Patricia L. Prior	Reg. No. 33,758	
William A. Jividen	Reg. No. 42,695	
Thomas E. Lees	Reg. No. 46,867	
John D. Reed	Reg. No. 46,506	
Brian L. Smiler	Reg. No. 46,458	
Julie G. Cope	Reg. No. P-48,624	
Robert L. Zieg	Reg. No. 20,500	·
Stephen R. May	Reg. No. 29,255	

Address all telephone calls to (937) 223-2050. Address all correspondence to: KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P., One Dayton Centre, One South Main Street, Suite 500, Dayton, Ohio 45402-2023.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first Inventor: JOHN D. AFFINITO			
Inventor's signature			
Date:			
Residence:	5745 North Campbell Avenue Tucson, AZ 85718		
Citizenship:	U.S.A.		

Post Office Address: same as above

STATUS AND ENFITY BRANCH

ZIDA MAY 13 PM 4:55

Full name of	second Inventor: GORDON L. GRAFF
Inventor's sig	gnature IX Def
Date:	6/7/01
Residence:	3750 Westlake Drive West Richland, WA 99353
Citizenship:	U.S.A.
Post Office A	Address: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352
	third Inventor: PETER M. MARTIN
Date:6/77	61
Residence:	7703 W. 13th Avenue Kennewick, WA 99338
Citizenship:	U.S.A.
Post Office Ad	Idress: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352

Full name of fourth Inventor: MARK E. GROSS

STATUS AND ENTITY BRANCH

2004 MAY 13 PN 4: 55

Inventor's signa	ature Mach C. From
Date:	1-01
	50 Deseret Drive Pasco, WA 99301
Citizenship: U	J.S.A.
Post Office Add	ress: c/o Battelle Memorial Institute Pacific Northwest Division P.O. Box 999 Richland, WA 99352
Full name of fifti	h Inventor: PAUL E. BURROWS
Date: 6/4	101
Ke	01105 Vaca Road ennewick, WA 99337
Citizenship: U.	SA: UK 90/23/01
Post Office Addre	P.O. Box 999 Richland, WA 99352

Full name of sixth Inventor: Linda S. Sapochak

Inventor's signature STATUS AN SPAN

Date: 6/18/01 2094 MAY 13 PH 4: 55

Residence: 131 Hexham Drive

Henderson, NV 89015

Citizenship: USA

Post Office Address: same as above